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\$SECTOR #13

Attorney Docket No.: A2A-101

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Attention: Initial Patent Examination Division

Applicant: Bernard G. FREELAND

Title: System and Method for  
Facilitating Asset-Based  
Financing in a Private Sale

Intl Appl No: 09/800,621

Intl Filing Date: 07 MAR 2001

) I hereby certify that this correspondence  
) has been sent by FIRST CLASS MAIL  
) and has been addressed to the  
) Assistant Commissioner for Patents  
) Washington, DC, 20231.  
)  
)

) Susan Vanderwalker 22 May 2001  
) Susan Vanderwalker date

**COMMUNICATION REGARDING  
LATE SUBMISSION OF DECLARATION AND  
POWER OF ATTORNEY DOCUMENT**

The Honorable Commissioner  
of Patents and Trademarks  
Washington, DC 20231

Dear Sir:

On March 7, 2001, the above-referenced Application was submitted without an Oath or Declaration pursuant to Rule 1.53. A *Notice to File Missing Parts of Application, Filing Date Granted*, dated April 19, 2001, has been received by Applicant. A copy is enclosed along with the required *Declaration and Power of Attorney* document.

The Applicant is a Small Entity. You are hereby authorized to deduct the amount of \$65.00 from our Deposit Account, No. 02-0400 to cover the surcharge in accordance with Rule 1.16(e). If additional fees are required at this time, **When identifying such a withdrawal, please use our Attorney Docket No. A2A-101.**

Respectfully,

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David I. Roche  
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/800,621	03/07/2001	Bernard G. Freeland	A2A-101

CONFIRMATION NO. 7479

## FORMALITIES LETTER



\*OC000000005984511\*

David I. ROCHE  
Baker & McKenzie  
130 E. Rondolph Drive  
Chicago, IL 60601

Date Mailed: 04/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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